

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, March 12, 2014, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

Presiding over the meeting was Chairperson Patricia Heim. Attending the meeting were board members Kent Coleman, Henry Fincher, Tom Lawless, Norma Lester and Justin Pitt. Justin Pitt participated via telephone. Kent Coleman attended the meeting; however, he could not participate because he had not received the required training.

Approval of Meeting Minutes

On motion by Lester, seconded by Fincher, the board voted unanimously to approve the minutes from the January 8, 2014 regular board meeting.

Requests for Reconsideration

13-82 Joe Towns, Jr., for failure to timely file a 2013 mid-year supplemental campaign financial disclosure report. Class two (2), 58 days late, maximum ten thousand (\$10,000) civil penalty. Rep. Towns has had previous matters before the board.

The board voted at its November 13, 2013 meeting to issue Rep. Towns a show cause notice.

The board voted at its January 8, 2014 meeting to assess Rep. Towns a \$2,000 civil penalty assessment. Rep. Towns requested a reconsideration of the \$2,000 civil penalty assessment.

Rep. Towns personally appeared before the board and submitted a statement for the board's consideration.

On motion by Fincher, seconded by Lester, the board voted 3 to 2 to reconsider the \$2,000 civil penalty assessment, with Heim and Lawless voting "no." A motion was made by Fincher, seconded by Lester, to assess Rep. Towns a \$500 civil penalty. The motion to assess Rep. Towns a \$500 civil penalty assessment passed the board 4 to 1, with Lawless voting "no."

13-77 CVS Caremark Corp Employees PAC, for failure to file a 2013 mid-year supplemental campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see below)

The board voted at its October 9, 2013 meeting to issue the organization a show cause notice.

The board voted at its November 13, 2013 meeting to assess the organization a \$10,000 civil penalty assessment. The organization requested a reconsideration of the \$10,000 civil penalty assessment.

Heather Cutler, treasurer for the organization, submitted a statement for the board's consideration.

Director Rawlins informed the board that the organization has closed their PAC account with the Registry.

On motion by Fincher, seconded by Lester, the board voted unanimously to reconsider the \$10,000 civil penalty assessment. On motion by Lester, seconded by Fincher, the board voted unanimously to take no further action in this matter.

Cases Subject to Approval of Civil Penalty Order

14-01 Clifford Lewis, for failure to timely file a 2013 pre-primary campaign financial disclosure report. Class one (1), 25 days late, maximum six hundred twenty-five (\$625) civil penalty. Mr. Lewis has had previous matters before the board.

The board voted at its January 8, 2014 meeting to issue Mr. Lewis a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

A motion was made by Heim, seconded by Lawless, to assess Mr. Lewis a \$150 civil penalty. The motion to assess Mr. Lewis a \$150 civil penalty failed the board 3 to 2, with Fincher and Lester voting “no.” A motion was then made by Fincher, seconded by Lester, to assess Mr. Lewis a \$50 civil penalty. The motion to assess Mr. Lewis a \$50 civil penalty passed the board unanimously.

Sworn Complaint

Eric Watson

Director Rawlins provided the board with a sworn complaint filed with the Registry from Jim Ruth against Eric Watson.

On motion by Fincher, seconded by Lawless, the board voted 4 to 0 to take no action, with Lester “abstaining.”

Cases Considered for Issuance of Show Cause Notice

Brock Bennington, for failure to timely file a 2013 year-end supplemental campaign financial disclosure report. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. Mr. Bennington has had previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to issue a show cause notice.

CVS Caremark Corp Employees PAC, for failure to timely file a 2013 year-end supplemental campaign financial disclosure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had a previous matter before the board. (see above)

On motion by Fincher, seconded by Lester, the board voted unanimously to take no action.

Friends of Police PAC, for failure to timely file a 2013 year-end supplemental campaign financial disclosure report. Class one (1), ten days late, maximum two hundred fifty (\$250) civil penalty. The organization has had a previous matter before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to issue a show cause notice.

Plumbers & Steamfitters Local 43, for failure to timely file a 2013 year-end supplemental campaign financial disclosure report. Class one (1), five days late, maximum one hundred twenty-five (\$125) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to take no action.

Tennessee Distillers PAC, for failure to timely file a 2013 year-end supplemental campaign financial disclosure report. Class one (1), seven days late, maximum one hundred seventy-five (\$175) civil penalty. The organization has had no previous matters before the board.

On motion by Heim, seconded by Fincher, the board voted unanimously to issue a show cause notice.

Executive Director's Report

- Director Rawlins provided the 2013 annual report to the board for approval.

On motion by Fincher, seconded by Lawless, the board voted unanimously to approve the 2013 annual report.

Other Business

On motion by Lawless, seconded by Lester, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.